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PATENTS  
CF/007R

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REISSUE APPLICATION

Application for Reissue of  
Patent No. : 5,905,974

Issued : May 18, 1999

Patentees/  
Reissue Applicants : Stuart A. Fraser et al.

For : AUTOMATED AUCTION PROTOCOL  
PROCESSOR

Assignees : CANTOR FITZGERALD, L.P. and  
CFPH, L.L.C.

New York, New York  
May 17, 2001

Box REISSUE  
Hon. Commissioner  
for Patents  
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Prior to examination, please amend the above-  
identified application as follows:

In The Specification

Please amend the specification as follows:

At column 6, line 33, please replace the  
paragraph there with:

Uncleared Entry [Current bids/offers that lack a  
counterparty, i.e., have not been  
lifted or hit] A bid or offer that